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GENERAL KLOOSTERMAN SUMS OVER RING OF GAUSSIAN INTEGERS

УЗАГАЛЬНЕНІ СУМИ КЛОСТЕРМАНА НАД КІЛЬЦЕМ ЦЛИХ ГАУССОВИХ ЧИСЕЛ

The general Kloosterman sum $K(m, n; k; q)$ over \mathbb{Z} was studied by S. Kanemitsu, Y. Tanigawa, Yi. Yuan, Zhang Wenpeng in their research of problem of D. H. Lehmer. In this paper, we obtain the similar estimations of $K(\alpha, \beta; k; \gamma)$ over $\mathbb{Z}[i]$. We also consider the sum $\tilde{K}(\alpha, \beta; h, q; k)$ which has not an analogue in the ring \mathbb{Z} but it can be used for the investigation of the second moment of the Hecke zeta-function of field $\mathbb{Q}(i)$.

Узагальнену суму Клостермана $K(m, n; k; q)$ над \mathbb{Z} вивчали S. Kanemitsu, Y. Tanigawa, Yi. Yuan, Zhang Wenpeng в їх дослідженні проблеми D. H. Lehmer. У цій статті отримано подібні оцінки $K(\alpha, \beta; k; \gamma)$ над $\mathbb{Z}[i]$. Також розглянуто суму $\tilde{K}(\alpha, \beta; h, q; k)$, що не має аналога в кільці \mathbb{Z} , але може бути використана при дослідженні другого моменту дзета-функції Геке поля $\mathbb{Q}(i)$.

1. Introduction. The classic Kloosterman sums appeared first in the work of Kloosterman [1] in connection with the representation of natural numbers by binary quadratic forms. The Kloosterman sum is an exponential sum over a reduced residue system modulo q :

$$K(a, b; q) := \sum_{\substack{x=1 \\ (x, q)=1}}^q e^{2\pi i \frac{ax+bx'}{q}}, \quad a, b \in \mathbb{Z}, \quad q > 1 \quad \text{is natural},$$

here and in sequel x' denote the reciprocal to x modulo q , i.e., $xx' \equiv 1 \pmod{q}$. By the relation for $q = q_1 q_2$, $(q_1, q_2) = 1$,

$$K(a, b; q) = K(aq'_2, bq'_2; q_1)K(aq'_1, bq'_1; q_2)$$

follows that suffices to obtain the estimations $K(a, b; q)$ only for a case $q = p^n$, p be a prime, $n \in \mathbb{N}$.

The greatest difficultly in an estimation of the Kloosterman sums provides the case $q = p$. The estimation $K(a, b; p) \ll p^{\frac{3}{4}}$ under a condition $(a, b, p) = 1$ was obtained in the named work of Kloosterman, and then Davenport [2] improved on it up to $\ll p^{\frac{2}{3}}$. A. Weil [3] proved the Riemann hypothesis for algebraic curves of over finite field and obtained the best possible estimation $\ll p^{\frac{1}{2}}$.

Davenport [2] studies the general Kloosterman sums over finite field with the multiplicative character ψ of this field

$$K_\psi(a, b; p) = \sum_{x \in \mathbb{F}_p^*} \psi(x) e^{2\pi i \frac{ax+bx'}{p}}.$$

The further generalization of the Kloosterman sums concerned with a substitution of a prime field \mathbb{F}_p on it a finite expansion \mathbb{F}_q , $q = p^n$, $n \in \mathbb{N}$. The generalization of the Kloosterman sums concerned with theory of modular forms studies in the works Kuznetsov [4, 5], Bruggeman [6], Deshoillers, Iwaniec [7], Proskurin [8]. In last years in connection with the investigation of the D. H. Lehmer problem was studied others generalizations of the Kloosterman sums (see [9, 10]):

$$K(a, b; q, k, \psi) = \sum_{x \in \mathbb{F}_q^*} \psi(x) e^{2\pi i \frac{ax^k + bx'^k}{q}}, \quad xx' \equiv 1 \pmod{q},$$

where ψ is a multiplicative character modulo q .

The multiple Kloosterman sums introduced Mordell [11]:

$$K(a_1, \dots, a_n; q) = \sum_{\substack{x_1, \dots, x_n \in \mathbb{F}_q^* \\ x_1 \dots x_n = 1}} e^{2\pi i \frac{\sigma_m(a_1 x_1 + \dots + a_n x_n)}{p}},$$

where $a_1, \dots, a_n \in \mathbb{F}_q^*$, $q = p^m$, $\sigma_m(c)$ is a trace from \mathbb{F}_q into \mathbb{F}_p .

The multiple Kloosterman sums are a particular case of the trigonometric sums on an algebraic variate over a finite field. By virtue of the investigations Dwork [12] (which has proved a rationality of the zeta-function of an algebraic variate over finite field), Deligne [13] (which has proved the Riemann hypothesis for an algebraic variate over \mathbb{F}_q) and Bombieri [14] (which has estimated in terms of a generative polynomial the number of characteristic roots of the zeta-function) was obtained the final estimation (see Deligne [15], Bombieri [14])

$$K(a_1, \dots, a_n; q) \leq nq^{\frac{n-1}{2}}.$$

In this paper we obtain the estimations of general Kloosterman sums over the ring of the Gaussian integers.

Notations. We denote $\mathbb{Z}[i]$ the ring of the Gaussian integers

$$\mathbb{Z}[i] := \{a + bi \mid a, b \in \mathbb{Z}, i^2 = -1\}.$$

For the designation of the Gaussian integers we shall use the Greek letters $\alpha, \beta, \gamma, \xi, \eta$; a Gaussian prime number denote through \mathfrak{p} if $\mathfrak{p} \notin \mathbb{Z}$. For $\alpha \in \mathbb{Z}[i]$ we put $\text{Sp}(\alpha) = \alpha + \overline{\alpha}$, $N(\alpha) = \alpha\overline{\alpha}$, where $\overline{\alpha}$ denotes a complex conjugate with α ; $Sp(\alpha)$ and $N(\alpha)$ we name a trace and a norm (accordingly) of α from $\mathbb{Q}(i)$ into \mathbb{Q} . \mathbb{F}_q denotes a field which contain just q an element, $q = p^n$, $n \in \mathbb{N}$.

For $x \in \mathbb{F}_q$ we denote through $\sigma_n(x)$ a trace x from \mathbb{F}_q into \mathbb{F}_p , i.e.,

$$\sigma_n(x) := x + x^p + \dots + x^{p^{n-1}}, \quad \sigma_1(x) = \sigma(x) = x.$$

The writing $a \in R(q)$ (accordingly, $a \in R(q, i)$) denotes that $a \in \mathbb{Z}$ (accordingly, $a \in \mathbb{Z}[i]$) and a runs a complete residue system modulo q . Analogous, $a \in R^*(q)$ (accordingly, $a \in R^*(q, i)$) denotes $a \in \mathbb{Z}$ (accordingly $a \in \mathbb{Z}[i]$) and runs a reduced residue system modulo q .

The writing $\sum_{(U)}$ denotes that the summation runs over the region U which describe extra. Moreover, $\exp(z) = e^z$, $e_q(z) = e^{2\pi i \frac{z}{q}}$ for $q \in \mathbb{N}$; the Vinogradov symbol as in $f(x) \ll g(x)$ means that $f(x) = O(g(x))$.

For Gaussian integers α, β, γ we define the Kloosterman sum

$$K(\alpha, \beta; \gamma) = \sum_{x \in R^*(\gamma, i)} \exp\left(\pi i \text{Sp} \frac{\alpha x + \beta x'}{\gamma}\right).$$

Zanbyrbaeva [16] obtained the estimation

$$K(\alpha, \beta; \gamma) \ll 2^{\nu(\gamma)} N(\gamma)^{\frac{1}{2}} N((\alpha, \beta, \gamma))^{\frac{1}{2}},$$

where $\nu(\gamma)$ is the number distinct prime divisors of γ ; (α, β, γ) denotes the greatest common divisor of α, β, γ .

We consider two type of general Kloosterman sums over $\mathbb{Z}[i]$

$$K(\alpha, \beta; k; \gamma, \psi) = \sum_{x \in R^*(\gamma, i)} \psi(x) \exp\left(\pi i \text{Sp} \frac{\alpha x^k + \beta x'^k}{\gamma}\right),$$

where $\alpha, \beta, \gamma \in \mathbb{Z}[i]$, ψ is multiplicative character modulo γ ,

$$\tilde{K}(\alpha, \beta; h, q; k) = \sum_{\substack{x, y \in R^*(q, i) \\ N(xy) \equiv h \pmod{q}}} e_q \left(\frac{1}{2} \operatorname{Sp}(\alpha x^k + \beta y^k) \right),$$

where $\alpha, \beta \in \mathbb{Z}[i]$, $h, q \in \mathbb{N}$, $(h, q) = 1$.

We call $K(\alpha, \beta; k; \gamma, \psi)$ the general power Kloosterman sum and $\tilde{K}(\alpha, \beta; h, q; k)$ call the norm Kloosterman sum.

Our aim is to obtain non trivial estimations for $K(\alpha, \beta; k; \gamma, \psi)$ and $\tilde{K}(\alpha, \beta; h, q; k)$.

2. Auxiliary results. For the proofs of our main results some Lemmas are need.

Lemma 2.1. Let \mathfrak{p} be a Gaussian prime “odd” number;

$$\alpha_1, \dots, \alpha_k \in \mathbb{Z}[i], \quad (\alpha_2, \mathfrak{p}) = \dots = (\alpha_k, \mathfrak{p}) = 1; \quad \nu_3, \nu_4, \dots, \nu_k \geq 2,$$

are natural numbers.

Then for every natural $n \geq 2$ we have

$$\begin{aligned} & \left| \sum_{\xi \pmod{\mathfrak{p}^n}} \exp \left(2\pi i \operatorname{Sp} \left(\frac{\alpha_1 \xi + \alpha_2 \mathfrak{p} \xi^2 + \alpha_3 \mathfrak{p}^{\nu_3} \xi^3 + \dots + \alpha_k \mathfrak{p}^{\nu_k} \xi^k}{\mathfrak{p}^n} \right) \right) \right| = \\ & = \begin{cases} 0 & \text{if } (\alpha_1, \mathfrak{p}) = 1, \\ N(\mathfrak{p})^{\frac{n+1}{2}} & \text{if } \alpha_1 \equiv 0 \pmod{\mathfrak{p}}. \end{cases} \end{aligned} \quad (2.1)$$

Lemma 2.2. Let $\mathfrak{p} = 1 + i$ be a Gaussian “even” number and let $\alpha_j \in \mathbb{Z}[i]$, $j = 1, 2, \dots, k$; $(\alpha_2, \mathfrak{p}) = \dots = (\alpha_k, \mathfrak{p}) = 1$.

Then for any natural numbers $\nu_j \geq 2$, $j = 2, 3, \dots, k$, and any $n \geq 2$ the following estimate:

$$\left| \sum_{\xi \pmod{\mathfrak{p}^n}} \exp \left(2\pi i \operatorname{Sp} \left(\frac{\alpha_1 \xi + \alpha_2 \mathfrak{p} \xi^2 + \alpha_3 \mathfrak{p}^{\nu_3} \xi^3 + \dots + \alpha_k \mathfrak{p}^{\nu_k} \xi^k}{\mathfrak{p}^n} \right) \right) \right| \leq \delta \cdot 2^{n+1},$$

holds, where

$$\delta = \begin{cases} 0 & \text{if } \alpha_1 \not\equiv 0 \pmod{\mathfrak{p}^2}, \\ 2 & \text{if } \alpha_1 \equiv 0 \pmod{\mathfrak{p}^2}. \end{cases}$$

The assertion of these lemmas are the consequences of the estimates of complete linear sum and Gauss’sum to which we can reduced the primary sums.

Lemma 2.3. Let p be a prime number; $A \in \mathbb{Z}$, $(A, p) = 1$, $f(x) \in \mathbb{Z}[x]$,

$$f(x) = a_1 x + a_2 x^2 + p^{\lambda_3} a_3 x^3 + \dots + p^{\lambda_k} a_k x^k,$$

$$(a_i, p) = 1, i = 2, 3, \dots, k; \lambda_j > 0, j = 3, \dots, k.$$

Then for any $n \in \mathbb{N}$ the equality

$$S := \sum_{x \pmod{p^n}} e_{p^n}(Af(x)) = \varepsilon(n)p^{\frac{n}{2}} e_{p^n}(AF(a_1, \dots, a_n))$$

holds, where $F(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$,

$$\varepsilon(n) = \begin{cases} 1 & \text{if } n \text{ is even,} \\ \left(\frac{A}{p}\right) \cdot (i)^{(\frac{p-1}{2})^2} & \text{if } n \text{ is odd,} \end{cases} \quad \left(\frac{A}{p}\right) \text{ is a symbol of Legendry.}$$

Proof. We set $x = y + p^{n-1}z$, $y \pmod{p^{n-1}}$, $z \pmod{p}$. Then we have

$$\sum_{x \pmod{p^n}} e_{p^n}(Af(x)) = \sum_{y \pmod{p^{n-1}}} \sum_{z \pmod{p}} e_{p^n} \left(A(f(y) + p^{n-1}zf'(y)) \right).$$

The sum over z gives zero if $f'(y) \not\equiv 0 \pmod{p}$. But we have $f'(y) \equiv a_1 + 2a_2y \pmod{p}$. Let y_0 be a root of congruence $a_1 + 2a_2y \equiv 0 \pmod{p}$. Then

$$\begin{aligned} S &= e_{p^n}(Af(y_0)) \sum_{y \pmod{p^{n-1}}} e_{p^n}(A(f(y_0 + py) - f(y_0))) = \\ &= e_{p^n}(Af(y_0)) \sum_{y \pmod{p^{n-1}}} e_{p^{n-2}}(Ag(y)) = \\ &= pe_{p^n}(Af(y_0)) \sum_{y \pmod{p^{n-2}}} e_{p^{n-2}}(Ag(y)), \end{aligned}$$

where

$$g(y) = \frac{f(y_0 + py) - f(y_0)}{p^2} = b_1y + b_2y^2 + p^{\mu_3}b_3y^3 + \dots + p^{\mu_k}b_ky^k,$$

moreover b_1, \dots, b_k are linear functions of a_1, \dots, a_k with the coefficients which depends on y_0 , and $b_2 \equiv a_2 \pmod{p}$, $(b_j, p) = 1$, $\mu_j \geq 1$, $j = 3, \dots, k$. Thus $g(y)$ is a polynomial such sort as $f(y)$.

These consideration we continue further. Then for $n \equiv 1 \pmod{2}$ we obtain

$$S = p^{\frac{n}{2}} e^{2\pi i A \left[\frac{f(y_0)}{p^n} + \frac{g(y_1)}{p^{n-2}} + \dots \right]},$$

and for n is even

$$\begin{aligned} S &= p^{\frac{n-1}{2}} e^{2\pi i A \left[\frac{f(y_0)}{p^n} + \frac{g(y_1)}{p^{n-2}} + \dots \right]} \sum_{x \pmod{p}} e_p^{(A(cx + a_2x^2))} = \\ &= \left(\frac{A}{p} \right) i^{(\frac{p-1}{2})^2} e^{2\pi i A \left[\frac{f(y_0)}{p^n} + \frac{g(y_1)}{p^{n-2}} + \dots - \frac{(2'c)^2}{p} \right]}. \end{aligned}$$

The lemma is proved.

Lemma 2.4. *Let p be a prime number, $p \equiv 3 \pmod{4}$ and let E_ℓ be a set of residue classes mod p^ℓ of the ring $\mathbb{Z}[i]$, which has norms congruous modulo p^ℓ with ± 1 . Then E_ℓ is a cyclical group of order $2(p+1)p^{\ell-1}$.*

Proof. From an equality $N(\alpha\beta) = N(\alpha)N(\beta)$ follows that E_ℓ is a subgroup of the group of residue classes modulo p^ℓ in $\mathbb{Z}[i]$.

At first let $\ell = 1$. Then the residue classes modulo p^ℓ organizes a field \mathbb{F}_{p^2} . Let g_0 be a generative element of multiplicative group of this field. We denote

$$g_0^u = x(u) + iy(u), \quad (2.2)$$

where $x(u), y(u) \in \mathbb{F}_p$ and i is an element of field \mathbb{F}_{p^2} such that $i^2 = -1$. The residue classes mod p for which norms $\equiv \pm 1 \pmod{p}$ be characterized by a condition

$$x^2 + y^2 = \pm 1 \quad (\text{in } \mathbb{F}_p).$$

Now from (2.2) we have

$$g_0^{pu} = x(u) - iy(u).$$

Hence an element g_0^u has a norm $\equiv \pm 1 \pmod{p}$ iff $g_0^{(p+1)u} = \pm 1$, i.e., iff $\frac{p-1}{2} | u$.

Denote $u = \frac{p-1}{2}t$, $t = 0, 1, \dots, 2p+1$, and set $g_0^{\frac{p-1}{2}} = g$. The classes g^t , $t = 0, 1, \dots, 2p+1$, are just those and only those which have a norm $\equiv \pm 1 \pmod{p}$.

Let $f = g + p\lambda$, $\lambda \in \mathbb{Z}[i]$. Then

$$f^p = g^p + p\lambda_1, \quad \lambda_1 \in \mathbb{Z}[i],$$

$$\begin{aligned} f^{p+1} &\equiv g^{p+1} + pg^p \lambda_1 \pmod{p^2}, \\ f^{2(p+1)} &\equiv g^{2(p+1)} + 2pg^{p+1} \lambda_1 \pmod{p^2}. \end{aligned}$$

Let $g^{2(p+1)} = 1 + pg_1$. We have

$$f^{2(p+1)} - 1 \equiv p(g_1 + 2g^{p+1} \lambda_1) \pmod{p^2}.$$

Always we can take λ so

$$f^{2(p+1)} = 1 + ph, \quad h \in \mathbb{Z}[i], \quad (h, p) = 1.$$

Thus we can account that a generative element g of the group E_ℓ had selected so $g^{2(p+1)} - 1 \not\equiv 0 \pmod{p^2}$. Now we easily get

$$g^{2(p+1)p^{\ell-1}} \equiv 1 \pmod{p^\ell}, \quad g^k \not\equiv 1 \pmod{p^\ell}, \quad 0 < k < 2(p+1)p^{\ell-1},$$

for every $\ell = 1, 2, \dots$. We must show also that for every $\ell = 1, 2, \dots$ there exists g_ℓ such that $g_\ell \equiv g \pmod{p^\ell}$ and $N(g_\ell) \equiv -1 \pmod{p^\ell}$.

For $\ell = 1$ we proved already.

Let $\ell = 2$. If $g = x + iy$ then

$$\begin{aligned} x^2 + y^2 &= -1 + \lambda p, \\ g^{2(p+1)} &= 1 + p(h_1 + ih_2), \quad (h_1 + ih_2, p) = 1, \quad h_1, h_2 \in \mathbb{Z}. \end{aligned}$$

We have for $k = k_1 + ik_2$, $k_1, k_2 \in \mathbb{Z}$:

$$\begin{aligned} N(x + iy + p(k_1 + ik_2)) &= -1 + \lambda p + p(2xk_1 + 2yk_2) + p^2(k_1^2 + k_2^2) \equiv \\ &\equiv -1 + p(\lambda + 2xk_1 + 2yk_2) \pmod{p^2}, \\ (x + iy + p(k_1 + ik_2))^{2(p+1)p} &\equiv \\ \equiv (x + iy)^{2(p+1)p} + 2p^2(x + iy)^{2(p+1)p-1}(k_1 + ik_2) &\pmod{p^3}. \end{aligned}$$

Hence,

$$((x + iy) + p(k_1 + ik_2))^{2(p+1)p} \lambda + 2xk_1 + 2yk_2 \equiv 0 \pmod{p^2},$$

here $h^{(1)}$ and α are the Gaussian integers and co-prime numbers with p .

Next, the congruence $-h^{(1)} \equiv \alpha(k_1 + ik_2) \pmod{p}$ holds only for one assembly of (k_1^0, k_2^0) by modulo p . Therefore, if we take $k_1 \not\equiv k_1^0 \pmod{p}$ and define k_2 from the congruence

$$\lambda + 2xk_1 + 2yk_2 \equiv 0 \pmod{p^2},$$

then we obtain that $f = x + iy + p(k_1 + ik_2)$ has a norm $\equiv -1 \pmod{p^2}$. Moreover, f belongs to an exponent $2(p+1)p$ by modulo p^2 and $f^{2(p+1)p} = 1 + Hp^2$, $(H, p) = 1$, i.e., $f \in E_2$ and f belongs to an exponent $2(p+1)p^{\ell-1}$ by modulo p^ℓ for every $\ell = 2, 3, \dots$

Now we note that the $g_3 = f + p^2(m_1 + im_2)$ satisfies by the condition $g_3^{2(p+1)p} = 1 + H_1p^2$, $(H_1, p) = 1$, for any $m_1, m_2 \in \mathbb{Z}$. We take $m_1, m_2 \in \mathbb{Z}$, such that

$$\lambda_2 + 2f_1m_1 + 2f_2m_2 \equiv 0 \pmod{p},$$

where $\lambda = \frac{N(f) - (-1)}{p^2} = \frac{N(f) + 1}{p^2}$, $f = f_1 + if_2$.

Then $g_3 = f + p^2(m_1 + im_2)$ is a generative element of the group E_3 .

Next, by induction. If we defined already $g_{\ell-1}$ then a generative element of E_ℓ will be

$$g_\ell = g_{\ell-1} + p^{\ell-1}(m_1 + im_2),$$

where m_1, m_2 define from a congruence

$$\lambda_{\ell-1} + 2g'_{\ell-1}m_1 + 2g''_{\ell-1}m_2 \equiv 0 \pmod{p}$$

$$\left(\text{here } \lambda_{\ell-1} = \frac{N(g_{\ell-1}) + 1}{p^{\ell-1}}, g_{\ell-1} = g'_{\ell-1} + ig''_{\ell-1}, g'_{\ell-1}, g''_{\ell-1} \in \mathbb{Z} \right).$$

The lemma is proved.

Lemma 2.5. *Let p be prime number; $p \equiv 3 \pmod{4}$, $\ell \in \mathbb{N}$. Then every residue $x + iy$ a reduced residue system mod p^ℓ of the ring of the Gaussian integers has unique representation in form*

$$\begin{aligned} x + iy &\equiv g^c(u + iv)^d \pmod{p^\ell}, \\ c &= 0, 1, \dots, (p-1)p^{\ell-1} - 1, \quad d = 0, 1, \dots, (p+1)p^{\ell-1} - 1, \end{aligned} \quad (2.3)$$

where g is a primitive root modulo p^ℓ in \mathbb{Z} , $u + iv$ is a generative element of E_ℓ .

Proof. Let $\bar{\varphi}(\alpha)$ denote the Euler function on $\mathbb{Z}[i]$. Then for $p \equiv 3 \pmod{4}$ we have

$$\bar{\varphi}(p^\ell) = N(p^\ell) \left(1 - \frac{1}{N(p)} \right) = p^{2(\ell-1)}(p^2 - 1).$$

In the relation (2.3) we have $p^{2(\ell-1)}(p^2 - 1)$ the formally distinguishable expressions of form $g^c(u + iv)^d$. As for any c and d we have $(g^c(u + iv)^d, p) = 1$ then for the proof of the assertion of lemma sufficiently to show that the expression (2.3) are pairwise disjoint mod p^ℓ for different assemblies of (c, d) .

Let us assume

$$g^{c_1}(u + iv)^{d_1} \equiv g^{c_2}(u + iv)^{d_2} \pmod{p^\ell}, \quad c_1 \geq c_2.$$

Then we have

$$g^{c_1-c_2}(u + iv)^{d_2-d_1} \equiv 1 \pmod{p^\ell} \quad \text{if } d_2 \geq d_1$$

or

$$g^{c_1-c_2}(u + iv)^{d_1-d_2} \equiv 1 \pmod{p^\ell} \quad \text{if } d_2 < d_1.$$

And now take account that the sets $\{g^\ell\}$ and $\{(u + iv)^d\}$ has only one common element (it is 1) modulo p^ℓ we obtain all once $c_1 = c_2, d_1 = d_2$.

The lemma is proved.

Corollary. *All reduced classes $x + iy$ modulo p^ℓ , $p \equiv 3 \pmod{4}$ which has equal norms modulo p^ℓ we can write in form*

$$\begin{aligned} x + iy &\equiv g^c(u + iv)^{2d}, \\ d &= 0, 1, \dots, p^{\ell-1}(p+1) - 1 \quad \text{if } N(x + iy) \equiv g^{2c} \pmod{p^\ell}, \\ &\quad (x + iy) \equiv g^c(u + iv)^{2d+1}, \\ d &= 0, 1, \dots, p^{\ell-1}(p+1) - 1 \quad \text{if } N(x + iy) \equiv -g^{2c} \pmod{p^\ell} \\ \left(\text{here } 0 \leq c \leq \frac{p-1}{2}p^{\ell-1} - 1 \right). \end{aligned}$$

Let p be a prime number, $p \equiv 1 \pmod{4}$. Then in the ring $\mathbb{Z}[i]$ we have $p = \mathfrak{p} \cdot \bar{\mathfrak{p}}$, where \mathfrak{p} and $\bar{\mathfrak{p}}$ are the complex-conjugate Gaussian prime numbers ($\bar{\mathfrak{p}} \neq \pm \mathfrak{p}$, $\pm i\mathfrak{p}$). Well-known that $\{a + bi \mid a, b = 0, 1, \dots, p^\ell - 1\}$ is a complete residue system mod p^ℓ . Similarly, for $p = 2$ we have $2 = -i(1+i)^2$ and $\{a + bi \mid a, b = 0, 1, \dots, 2^\ell - 1\}$ is a complete system mod \mathfrak{p}^ℓ , $\mathfrak{p} = 1 + i$ in $\mathbb{Z}[i]$.

3. General Kloosterman sum $K(\alpha, \beta; k; \gamma)$. We consider the sum $K(\alpha, \beta; k; \gamma)$ defining in Introduction for the trivial character ψ_0 :

$$K(\alpha, \beta; k; \gamma, \psi_0) = K(\alpha, \beta; k; \gamma) = \sum_{(U)} \exp\left(\pi i \operatorname{Sp} \frac{\alpha x^k + \beta x'^k}{\gamma}\right), \quad (3.1)$$

where $U = \{(x, x') \in \mathbb{Z}[i]^2 \mid x, x' \pmod{\gamma}, xx' \equiv 1 \pmod{\gamma}\}$. Obviously, we have

$$K(\alpha, \beta; k; \gamma) = K(\alpha\gamma'_2, \beta\gamma'_2; k; \gamma_1)K(\alpha\gamma'_1, \beta\gamma'_1; k; \gamma_2) \quad \text{if } \gamma = \gamma_1\gamma_2, (\gamma_1, \gamma_2),$$

where $\gamma_1\gamma'_1 \equiv 1 \pmod{\gamma_2}$, $\gamma_2\gamma'_2 \equiv 1 \pmod{\gamma_1}$.

Thus we can therefore assume, without loss of generality, that $\gamma = \mathfrak{p}^n$, \mathfrak{p} is a Gaussian prime number.

In part 1 we had obtained a description of a reduced residue system mod \mathfrak{p}^n , $\mathfrak{p} = p \equiv 3 \pmod{4}$. For $\mathfrak{p} \in \mathbb{Z}[i]$, $N(\mathfrak{p}) = p \equiv 1 \pmod{4}$, a reduced residue system mod \mathfrak{p}^n has a form

$$\{a \in \mathbb{Z} \mid 1 \geq a \geq p^n - 1, (a, p) = 1\},$$

and for Gaussian prime “even” number $\mathfrak{p} = 1 + i$

$$\{a + bi \mid a, b \in \{0, 1, \dots, 2^n - 1\}, a \equiv 1 \pmod{2}, b \equiv 0 \pmod{2}\}.$$

Theorem 3.1. *Let \mathfrak{p} be Gaussian prime number, $N(\mathfrak{p}) = p \equiv 1 \pmod{4}$ and let $d = (k, p - 1)$. Then*

$$|K(\alpha, \beta; k; \mathfrak{p})| \leq 2dN((\alpha, \beta, \mathfrak{p}))^{\frac{1}{2}}N(\mathfrak{p})^{\frac{1}{2}}. \quad (3.2)$$

Proof. If $(\alpha, \beta, \mathfrak{p}) = \mathfrak{p}$ then our assertion is clear. Let $(\alpha, \beta, \mathfrak{p}) = 1$. By a description of a reduced residue system mod \mathfrak{p}^n we can suppose that $\alpha = a$, $\beta = b$, $a, b \in \mathbb{Z}$, $\mathfrak{p} = c_1 + ic_2$, $c_1, c_2 \in \mathbb{Z}$, $(c_1, p) = (c_2, p) = 1$.

Thus we have

$$\begin{aligned} K(\alpha, \beta; k; \mathfrak{p}) &= \sum_{u \in R^*(p)} e_p\left(\frac{1}{2} \operatorname{Sp}(a(c_1 - ic_2)u^k + b(c_1 - ic_2)u'^k)\right) = \\ &= \sum_{u \in R^*(p)} e_p(ac_1 u^k + bc_1 u'^k). \end{aligned}$$

The last sum was estimated in [10] but we shall give a calculation in order to make more precise an estimation.

We define

$$\mathfrak{I}_k(a) := \#\{x \in \mathbb{Z} \mid 0 \geq x \geq p - 1, x^k \equiv a \pmod{p}\}.$$

It is clear that

$$\mathfrak{I}_k(a) = \begin{cases} d & \text{if } d|\operatorname{ind} a \\ 0 & \text{otherwise} \end{cases} = \sum_{t=0}^{d-1} e^{2\pi i t \operatorname{ind} a}.$$

(Here $\operatorname{ind} a$ denote an index of integer a , $(a, p) = 1$, by a radix of some primitive root modulo p .)

Then we obtain

$$\begin{aligned}
|K(\alpha, \beta; k; p)| &= \left| \sum_{u \in R^*(p)} \mathfrak{I}_k(u) e_p(au + bu') \right| \leq \\
&\leq \sum_{t=0}^{d-1} \left| \sum_{u \in R^*(p)} e_d(t \operatorname{ind} u) e_p(au + bu') \right| \leq 2dp^{\frac{1}{2}}.
\end{aligned} \tag{3.3}$$

Here we take into account that an inner sum is classical Kloosterman sum weighting by a character and hence estimates as $2p^{\frac{1}{2}}$ (see Perel'muter [17], Williams [18]).

The theorem is proved.

Theorem 3.2. *Let $p \equiv 3 \pmod{4}$, $k \in \mathbb{N}$, $d = (k, p^2 - 1)$. Then*

$$|K(\alpha, \beta; k; p)| \leq 2d N((\alpha, \beta, p))^{\frac{1}{2}} N(p)^{\frac{1}{2}}. \tag{3.4}$$

Proof. The residue classes mod p in the ring $\mathbb{Z}[i]$ organizes a field \mathbb{F}_{p^2} . Hence, $\sigma_2(x) = x + x^p$. But we observed that $\bar{x} \equiv x^p \pmod{p}$ for $x \in \mathbb{Z}[i]$. Thus

$$\operatorname{Sp}(x) = x + \bar{x} \equiv x + x^p \equiv \sigma_2(x) \pmod{p}.$$

Hence,

$$K(\alpha, \beta; k; p) = \sum_{x \in R^*(p)} e_p \left(\frac{1}{2} \operatorname{Sp}(\alpha x^k + \beta \bar{x}^k) \right) = \sum_{x \in \mathbb{F}_{p^2}^*} e_p \left(\sigma_2(2' \alpha x^k + 2' \beta x'^k) \right),$$

where $2 \cdot 2' \equiv 1 \pmod{p}$.

Let g denote a primitive element of the field \mathbb{F}_{p^2} , $\operatorname{ind}_g x = \operatorname{ind} x$ for $x \in \mathbb{F}_{p^2}$ and let $\mathfrak{I}(u)$ is a number solutions of equation $x^k = u$ in \mathbb{F}_{p^2} . It follows that

$$\begin{aligned}
|K(\alpha, \beta; k; p)| &= \left| \sum_{t=0}^{d-1} \sum_{x \in \mathbb{F}_{p^2}^*} e^{2\pi i \frac{t \operatorname{ind} x}{d}} e_p(\sigma_2(2' \alpha x + 2' \beta x')) \right| \leq \\
&\leq \left| \sum_{x \in \mathbb{F}_{p^2}^*} e_p(\sigma_2(2' \alpha x + 2' \beta x')) \right| + \left| \sum_{t=1}^{d-1} \sum_{x \in \mathbb{F}_{p^2}^*} \psi_t(x) e_p(\sigma_2(2' \alpha x + 2' \beta x')) \right|,
\end{aligned}$$

where $\psi_t(x) = e_d(t \operatorname{ind} x)$ is a multiplicative character of the field \mathbb{F}_{p^2} .

Again using the estimations of the Kloosterman sums with a character of a finite field we obtain finally

$$|K(\alpha, \beta; k; p)| \leq 2d N((\alpha, \beta, p))^{\frac{1}{2}} N(p)^{\frac{1}{2}}.$$

The theorem is proved.

For $\mathfrak{p} = 1 + i$ we have trivially $|K(\alpha, \beta; k; p)| = 1$.

Now for $\gamma = \mathfrak{p}^n$ we make a substitute $x \pmod{\mathfrak{p}^n} = y + \mathfrak{p}^n z$, where $y \pmod{\mathfrak{p}^n}$, $z \pmod{\mathfrak{p}^{n-m}}$, $m = \left[\frac{n+1}{2} \right]$, and then using the standard technique, we easily obtain the following theorem.

Theorem 3.3. *Let $\gamma = (1+i)^{n_0} \prod_{N(\mathfrak{p}_i) \equiv 1(4)}^s \mathfrak{p}_i^{n_i} \prod_{p_j \equiv 3(4)}^t p_j^{n_j}$. Then*

$$|K(\alpha, \beta; k; \gamma)| \leq 2D \sqrt{N((\alpha, \beta, \gamma))} N(\gamma)^{\frac{1}{2}}, \tag{3.5}$$

where $D = \prod_{i=1}^s (k, p_i - 1) \prod_{j=1}^t (k, p_j^2 - 1)$.

Now we consider a nontrivial multiplicative character ψ of the field \mathbb{F}_q , $q = p^r$, $r \in \mathbb{N}$, p be a prime number, $\alpha, \beta \in \mathbb{F}_q$ and $\alpha \neq 0$ or $\beta \neq 0$. We define the general power Kloosterman sum with a character ψ

$$K(\alpha, \beta; k; q, \psi) := \sum_{x \in \mathbb{F}_q^*} \psi(x) e_p(\sigma_2(\alpha x^k + \beta x'^k)). \quad (3.6)$$

Let $d = (k, q - 1)$, $\psi(x) = e^{2\pi i \frac{h \text{ind } x}{q-1}}$, where $\text{ind } x$ take in regard to a some primitive element for \mathbb{F}_q . We have two probable cases: $d \nmid h$ and $d \mid h$.

We shall prove that $K(\alpha, \beta; k; q, \psi) = 0$ in first case. We have for $\beta \neq 0$:

$$\begin{aligned} & \sum_{\alpha \in \mathbb{F}_q^*} |K(\alpha, \beta; k; q, \psi)|^2 = \\ &= \sum_{\alpha \in \mathbb{F}_q^*} \sum_{\substack{x, y \in \mathbb{F}_q^* \\ xx' = yy' = 1}} \psi(x) \psi(y') e_p(\sigma_2(\alpha(x^k - y^k) + \beta(x'^k - y'^k))) = \\ &= \sum_{x \in \mathbb{F}_q^*} \psi(x) \sum_{y \in \mathbb{F}_q^*} e_p(\sigma_2(\beta y'^k (x^k - 1))) \sum_{\alpha \in \mathbb{F}_q^*} e_p(\sigma_2(\alpha y^k (x^k - 1))) = \\ &= q \sum_{y \in \mathbb{F}_q^*} \sum_{\substack{x \in \mathbb{F}_q^* \\ x^k = 1}} \psi(x) e_p(\sigma_2(\beta y'^k (x^k - 1))) = q(q-1) \sum_{\substack{x \in \mathbb{F}_q^* \\ x^k = 1}} \psi(x). \end{aligned} \quad (3.7)$$

In the last sum the summation runs over $x \in \mathbb{F}_q^*$ for which $k \text{ind } x \equiv 0 \pmod{(q-1)}$, i.e., $\text{ind } x = \frac{q-1}{d}s$, $s = 0, 1, \dots, d-1$, and thus

$$\sum_{\alpha} |K(\alpha, \beta; k; q, \psi)|^2 = q(q-1) \sum_{s=0}^{d-1} e^{2\pi i \frac{hs}{d}} = 0 \quad \text{if } h \not\equiv 0 \pmod{d}.$$

If $d \mid h$ we have $\psi(x) = e_{q-1}(h_1 d \text{ind } x) = e_{q-1}(h_1 \text{ind } x^d)$. Hence, setting $k_1 = \frac{k}{d}$, $h_1 = \frac{h}{d}$, $\psi_1^d = \psi$, we obtain

$$\begin{aligned} K(\alpha, \beta; k; q, \psi) &= \sum_{x \in \mathbb{F}_q^*} \psi_1(x^d) e_p(\sigma_2(\alpha(x^d)^{k_1} + \beta(x'^d)^{k_1})) = \\ &= \sum_{x \in \mathbb{F}_q^*} \mathfrak{I}_d(x) \psi_1(x) e_p(\sigma_2(\alpha x^{k_1} + \beta x'^{k_1})) = \\ &= \sum_{s=0}^{d-1} \sum_{x \in \mathbb{F}_q^*} e_d(s \text{ind } x) e_{q-1}(h_1 \text{ind } x) e_p(\sigma_2(\alpha x^{k_1} + \beta x'^{k_1})) = \\ &= \sum_{s=0}^{d-1} \sum_{x \in \mathbb{F}_q^*} \psi_2(x) e_p(\sigma_2(\alpha x^{k_1} + \beta x'^{k_1})), \end{aligned} \quad (3.8)$$

where $\psi_2(x) = e_{q-1}(h_2 \text{ind } x)$, $h_2 = \frac{s(q-1) + h}{d}$.

So then we diminished the exponent k in d -time if $d > 1$. But if $d = 1$ then clearly that

$$\begin{aligned} K_k(\alpha, \beta; q) &= \sum_{x \in \mathbb{F}_q^*} e_{q-1}(hk' \text{ind } x^k) e_p(\sigma_2(\alpha x^{k_1} + \beta x'^{k_1})) = \\ &= \sum_{x \in \mathbb{F}_q^*} e_{q-1}(hk' \text{ind } x) e_p(\sigma_2(\alpha x + \beta x')) = K_1(\alpha, \beta; q; \psi_3), \end{aligned}$$

where $kk' \equiv 1 \pmod{(q-1)}$, $\psi_3 = \psi^{k'}$.

The sum $K_1(\alpha, \beta; q, \psi_3)$ is the Kloosterman sum over \mathbb{F}_q weighting by a multiplicative character ψ_3 of the field \mathbb{F}_q and has a estimation as $2q^{\frac{1}{2}}$ if $\beta \neq 0$ (see Perel'muter [17]). The relation (3.8) show that if $(k_1, q-1) = 1$ then

$$|K_k(\alpha, \beta; q, \psi)| \leq 2dq^{\frac{1}{2}}.$$

If $(k_1, q-1) = d_1 > 1$ we again consider two cases

$$(h_1, d_1) = d_1 \quad \text{or} \quad (h_2, d_1) < d_1.$$

But if $(h_2, d_1) < d_1$ we have $K(\alpha, \beta; k; q, \psi) = 0$.

The case $h_2 \mid d_1$ can execute only for those s , $0 \leq s \leq d-1$, for which $h_2 \equiv 0 \pmod{d_1}$, i.e., s must satisfy the congruence

$$s \frac{q-1}{d} + \frac{h}{d} \equiv 0 \pmod{d_1}.$$

But $\left(d_1, \frac{q-1}{d}\right) = 1$ since $d_1 \mid k_1$ and $\left(k_1, \frac{q-1}{d}\right) = 1$.

It follows that we have only one value s modulo d_1 , and hence, at most $\left[\frac{d}{d_1}\right] + 1$ the

value of s among $0 \leq s \leq d-1$, for which $h_2 \mid d_1$. We apply this reduction and through $\nu(k)$ steps we obtain the estimation

$$|K_k(\alpha, \beta; q, \psi)| \leq 2^{\nu(k)+1} k q^{\frac{1}{2}},$$

where $\nu(k)$ denote the number a prime divisors of k .

And so we proved the following theorem.

Theorem 3.4. *Let $\alpha, \beta \in \mathbb{F}_q$ and though one of element α or β is not equal to zero. Then for any multiplicative character ψ of field \mathbb{F}_q the estimation*

$$|K_\psi(\alpha, \beta; q; k)| \leq 2kq^{\frac{1}{2}}$$

holds.

Corollary. *Let \mathfrak{p} be a Gaussian prime number and let χ is a multiplicative character of a field of the residue classes mod \mathfrak{p} . Then*

$$\left| \sum'_{x \pmod{\mathfrak{p}}} \chi(x) \exp \pi i \operatorname{Sp} \left(\frac{\alpha x^k + \beta x'^k}{\mathfrak{p}} \right) \right| \leq 2^{\nu(k)+1} k N(\mathfrak{p})^{\frac{1}{2}} N((\alpha, \beta, \mathfrak{p}))^{\frac{1}{2}}.$$

4. General Kloosterman sums over norm. Let $\alpha, \beta \in \mathbb{Z}[i]$, $h \in \mathbb{Z}$, $q \in \mathbb{N}$, $q > 1$, $(h, q) = 1$. We set

$$\tilde{K}(\alpha, \beta; h, q) := \sum_{\substack{x, y \pmod{q} \\ N(xy) \equiv h \pmod{q}}} e_q \left(\frac{1}{2} \operatorname{Sp}(\alpha x + \beta y) \right) \quad (4.1)$$

and call the norm Kloosterman sum in $\mathbb{Z}[i]$.

For $q = q_1 q_2$, $(q_1, q_2) = 1$ we have

$$\begin{aligned}\tilde{K}(\alpha, \beta; h, q) &= \tilde{K}(\alpha, \beta; hq''_2, q_1)\tilde{K}(\alpha, \beta; hq''_1, q_2) = \\ &= \tilde{K}(\alpha q_2, \beta q_2; h, q_1)\tilde{K}(\alpha q_1, \beta q_1; h, q_2).\end{aligned}$$

Thus we shall consider only case $q = p^n$, p is prime rational number, $n \in \mathbb{N}$. We denote $m_\alpha = \max_{m \geq n} \{\alpha \equiv 0 \pmod{p^m}\}$.

Theorem 4.1. *Let $(h, p) = 1$. Then*

$$\tilde{K}(\alpha, \beta; h, p^n) \ll (p^{m_\alpha}, p^{m_\beta}, p^n)^{\frac{1}{2}} p^{\frac{3n}{2}} \quad (4.2)$$

with an absolute constant in symbol “ \ll ”.

Proof. At first let $n = 1$. The case $m_\alpha = m_\beta = 1$ is a trivial. Thus we shall suppose that $m_\alpha = 0$ or $m_\beta = 0$. We set $\alpha = a_1 + ia_2$, $\beta = b_1 + ib_2$ and, hence, $(a_1, a_2, b_1, b_2) = 1$.

For $p \equiv 1 \pmod{4}$ we have

$$\tilde{K}(\alpha, \beta; h, p) = \sum_{(U)} e_p(a_1 x_1 - a_2 x_2 + b_1 y_1 - b_2 y_2), \quad (4.3)$$

where $U = \{x_1, x_2, y_1, y_2 \in \{0, 1, \dots, p-1\}, (x_1^2 + x_2^2)(y_1^2 + y_2^2) \equiv h \pmod{p}\}$. Let ε_0 is a solution of congruence $x^2 \equiv -1 \pmod{p}$.

We set

$$u_1 = x_1 + \varepsilon_0 x_2, \quad u_2 = x_1 - \varepsilon_0 x_2, \quad v_1 = y_1 + \varepsilon_0 y_2, \quad v_2 = y_1 - \varepsilon_0 y_2.$$

Now by (4.3) we obtain

$$\tilde{K}(\alpha, \beta; h, p) = \sum_{(U)} e_p(A_1 u_1 + A_2 u_2 + B_1 v_1 + B_2 v_2),$$

where $U = \{u_1, u_2, v_1, v_2 \in \{0, 1, \dots, p-1\}, u_1 u_2 v_1 v_2 \equiv h \pmod{p}\}$.

E. Bombieri [14] proved that the last sum can be estimated as $\ll p^{\frac{3}{2}}$. If $p \equiv 3 \pmod{4}$ then the such estimation holds for the sum (4.3) (the proof is analogous).

The case $p = 2$ is a trivial.

Now, let $n \geq 2$. It is enough to consider only the case $(p^{m_\alpha}, p^{m_\beta}, p^n) = 1$. In this case though one of number a_1, a_2, b_1, b_2 does not divide on p (here $\alpha = a_1 + ia_2$, $\beta = b_1 + ib_2$). We have

$$\begin{aligned}\tilde{K}(\alpha, \beta; h, p^n) &= \\ &= \sum_{x, y \pmod{p^n}} \frac{1}{p^n} \sum_{k=0}^{p^n-1} e_{p^n} \left(k(N(x)N(y) - h) + \Re(\alpha x) + \Re(\beta y) \right) = \\ &= \frac{1}{p^n} \sum_U e_{p^n} \left(k((x_1^2 + x_2^2)(y_1^2 + y_2^2) - h) + a_1 x_1 - a_2 x_2 + b_1 y_1 - b_2 y_2 \right), \quad (4.4)\end{aligned}$$

where $U := \{k \pmod{p^n}; x_1, x_2 \pmod{p^n}; y_1, y_2 \pmod{p^n}\}$.

Though one out of sums over x_1, x_2, y_1, y_2 is equal 0 if $(k, p) = p$ (by a rational analogue of Lemma 2.1).

Thus, supposing $(a_1, a_2, p) = 1$, we have

$$\begin{aligned}
& \tilde{K}(\alpha, \beta; h, p^n) = \\
& = \frac{1}{p^n} \sum_U e_p^n(-kh) e_{p^n} \left(kN(x)(y_1^2 + y_2^2) + \Re(\alpha x) + b_1 y_1 - b_2 y_2 \right) = \\
& = \frac{1}{p^n} \sum_{k \pmod{p^n}}^* e_{p^n}(-kh) \left(\sum_{\substack{x \pmod{p^n} \\ (N(x), p) = 1}} + \sum_{\substack{x \pmod{p^n} \\ N(x) \nmid p}} \right) = \sum_1 + \sum_2,
\end{aligned} \tag{4.5}$$

say, where $U := \{k \in R^*(p^n), x \in R(p^n, i), y_1, y_2 \in R(p^n)\}$. Let $N(x)'$ and k' are the solutions of the congruences

$$N(x)u \equiv 1 \pmod{p^n}, \quad ku \equiv 1 \pmod{p^n},$$

accordingly. Then

$$\left| \sum_1 \right| = \left| \sum_{k \pmod{p^n}}^* e_{p^n}(-kh) \sum_{x \pmod{p^n}} e_{p^n} \left(4'N(x)'k'(b_1^2 + b_2^2) + a_1 x_1 - a_2 x_2 \right) \right|. \tag{4.6}$$

We set

$$\begin{aligned}
x_1 &= x_1^0 + p^m z_1, \quad x_2 = x_2^0 + p^m z_2, \\
0 \leq x_1^0, x_2^0 &\leq p^m - 1, \quad 0 \leq z_1, z_2 \leq p^{n-m} - 1, \quad m = \left[\frac{n+1}{2} \right].
\end{aligned}$$

It is obvious

$$N(x)' = (x_1^{02} + x_2^{02})' \left(1 - 2p^m (x_1^{02} + x_2^{02})' (x_1^0 z_2 + x_2^0 z_1) \right)$$

and consequently

$$\begin{aligned}
\left| \sum_1 \right| &= \left| \sum_{k \pmod{p^n}}^* e_{p^n}(-kh) \times \right. \\
&\times \sum_{\substack{x_1^0, x_2^0 \pmod{p^n} \\ (x_1^{02} + x_2^{02}, p) = 1}} e_{p^n} \left(4'k'(x_1^{02} + x_2^{02})' (b_1^2 + b_2^2) + a_1 x_1^0 - a_2 x_2^0 \right) \times \\
&\times \left. \sum_{z_1, z_2 \pmod{p^{n-m}}} e_{p^{n-m}} ((A_1 + a_1)z_1 + (A_2 + a_2)z_2) \right|,
\end{aligned}$$

where $A_1 = 2((x_1^{02} + x_2^{02})')^2 x_2^0$, $A_2 = 2((x_1^{02} + x_2^{02})')^2 x_1^0$.

The summation over z_1, z_2 gives zero if the congruences

$$A_1 + a_1 \equiv 0 \pmod{p^{n-m}}, \quad A_2 - a_2 \equiv 0 \pmod{p^{n-m}}$$

or the equivalent congruences

$$a_2 x_1^0 + a_1 x_2^0 \equiv 0 \pmod{p^{n-m}}, \quad 2x_2^0 \equiv -a_1 (x_1^{02} + x_2^{02})^2 \pmod{p^{n-m}}$$

are disturbed.

This system of the congruences has at most three solutions modulo p^{n-m} , and therefore at most $3p^{m-(n-m)}$ solutions modulo p^m .

Hence,

$$\left| \sum_1 \right| = \left| p^{2(n-m)} \sum_{(U)} e_{p^n}(a_1 x_1^0 - a_2 x_2^0) \sum_{k \pmod{p^n}}^* (kh + k'B) \right| \leq 8p^{\frac{3}{2}n}, \quad (4.7)$$

where

$$U = \left\{ x_1^0, x_2^0 \pmod{p^m} \mid a_2 x_1^0 \equiv -a_1 x_2^0 \pmod{p^{n-m}}, \right. \\ \left. 2x_1^0 \equiv -a_1 (x_1^{02} + x_2^{02})^2 \pmod{p^{n-m}} \right\}.$$

At last, if $N(x) \equiv 0 \pmod{p}$ then $\sum_2 = 0$ by Lemma 2.1.

The theorem is proved.

For natural $k > 1$ we set

$$\tilde{K}(\alpha, \beta; h, q; k) := \sum_{\substack{x, y \pmod{q} \\ N(xy) \equiv h \pmod{q}}} e_q \left(\frac{1}{2} \text{Sp}(\alpha x^k + \beta y^k) \right). \quad (4.8)$$

It is obvious that $\tilde{K}(\alpha, \beta; h, q; 1) = \tilde{K}(\alpha, \beta; h, q)$.

The method of investigation of the sum $\tilde{K}(\alpha, \beta; h, q; k)$ towards suffices to consider the case $q = p^n$, p be a prime. At first we shall account that $p \equiv 3 \pmod{4}$.

Theorem 4.2. *Let $p \equiv 3 \pmod{4}$, $h \in \mathbb{Z}$, $(h, p) = 1$, $k \in \mathbb{N}$, $d = (k, p-1)$. Then for any Gaussian integers α, β , $(\alpha, \beta, p) = 1$ the estimation*

$$\left| \tilde{K}(\alpha, \beta; h, p; k) \right| \ll \begin{cases} d^2 p^{\frac{3}{2}} & \text{if } d-1 \leq \sqrt[4]{p}, \\ dp^2 & \text{if } d \geq \sqrt[4]{p} + 1 \end{cases}$$

holds.

Proof. Let $k = dk_1$, $\left(k_1, \frac{p-1}{d} \right) = 1$. We have

$$\begin{aligned} & \sum_{\substack{x, y \pmod{p} \\ N(xy) \equiv h \pmod{p}}} e_p \left(\frac{1}{2} \text{Sp}(\alpha(x^{k_1})^d + \beta(y^{k_1})^d) \right) = \\ &= \sum_{\substack{x, y \pmod{p} \\ N(x^{k_1} y^{k_1}) \equiv h^{k_1} \pmod{p}}} e_p \left(\frac{1}{2} \text{Sp}(\alpha(x^{k_1})^d + \beta(y^{k_1})^d) \right) = \\ &= \sum_{\substack{x, y \pmod{p} \\ N(xy) \equiv h^{k_1} \pmod{p}}} e_p \left(\frac{1}{2} \text{Sp}(\alpha x^d + \beta y^d) \right) = \tilde{K}(\alpha, \beta; h^{k_1}, p; d). \end{aligned}$$

Now, for any multiplicative character χ of field \mathbb{F}_{p^2} we have

$$\begin{aligned} & \sum_{h \in \mathbb{F}_{p^2}^*} \chi(h) \tilde{K}(\alpha, \beta; h, p; d) = \\ &= \sum_{x, y \in \mathbb{F}_{p^2}^*} \chi(N(x)N(y)) e_p \left(\frac{1}{2} \text{Sp}(\alpha x^d) \right) e_p \left(\frac{1}{2} \text{Sp}(\beta y^d) \right) = \\ &= \left(\sum_{x \in \mathbb{F}_{p^2}^*} \chi \left(N(x) e_p \left(\frac{1}{2} \text{Sp}(\alpha x^d) \right) \right) \right) \left(\sum_{y \in \mathbb{F}_{p^2}^*} \chi(N(y)) e_p \left(\frac{1}{2} \text{Sp}(\beta y^d) \right) \right), \quad (4.9) \end{aligned}$$

and moreover the sums on the right of (4.9) can be estimate as $(d-1)N(p)^{\frac{1}{2}}$ (see [17]). Thus we obtain

$$\left| \sum_{h \in \mathbb{F}_{p^2}^*} \chi(h) \tilde{K}(\alpha, \beta; h, p; d) \right| \leq (d-1)^2 p^2.$$

The application of the Plancherel theorem give

$$\sum_{h \in \mathbb{F}_{p^2}^*} |K(\alpha, \beta; h, p; d)|^2 \leq (d-1)^4 p^4.$$

Now similarly as in the Bombieri work [14] we conclude that the weights of characteristic roots associating with $\tilde{K}(\alpha, \beta; h, p; d)$ are at most 3 if $(d-1)^4 < p$. Hence, using the results of Bombieri [14] and Deligne [13] we infer

$$\tilde{K}(\alpha, \beta; h, p; d) \ll (d-1)^2 p^2 \ll d^2 p^2 \quad \text{if } d-1 < \sqrt[4]{p}.$$

Further, for $x = x_1 + ix_2$, $x_1, x_2 \in \mathbb{Z}$, we have $x_1 - ix_2 \equiv (x_1 + ix_2)^p \pmod{p}$ and thus $N(x) \equiv x^{p+1} \pmod{p}$. Hence,

$$\begin{aligned} & \sum_{\substack{x, y \pmod{p} \\ N(xy) \equiv h \pmod{p}}} e_p \left(\frac{1}{2} \operatorname{Sp}(\alpha x^d + \beta y^d) \right) = \\ &= \sum_{\substack{x, y \pmod{p} \\ (xy)^{p+1} \equiv h \pmod{p}}} e_p \left(\frac{1}{2} \operatorname{Sp}(\alpha x^d + \beta y^d) \right) = \\ &= \sum_{\substack{\varepsilon \pmod{p} \\ \varepsilon^{p+1} \equiv h \pmod{p}}} \sum_{x \pmod{p}} e_p \left(\frac{1}{2} \operatorname{Sp}(\alpha x^d + \beta y^d) \right). \end{aligned} \quad (4.10)$$

The congruence $z^{p+1} \equiv h \pmod{p}$ has exactly $p+1$ solutions mod p . The inner sum in the right in (4.10) estimates as $\leq 2dp$. This completes the proof of the theorem.

Now, let $q = p^n$, $p \equiv 3 \pmod{4}$, $n \geq 2$. We shall use the description of a reduced residue system mod p^n (see Lemma 2.5).

In farther the following assertion are need.

Lemma 4.1. *Let $n, k \in \mathbb{N}$, $p \geq 3$ be a prime, $u \in \mathbb{Z}$, $(p, u) = 1$. Then for any natural t we have*

$$(1 + p^k u)^t \equiv 1 + p^k a_1 t + p^{2k} a_2 t^2 + p^{\lambda_3} a_3 t^3 + \dots + p^{\lambda_n} a_n t^n \pmod{p^n},$$

moreover $(a_i, p) = 1$, $i = 1, \dots, n$, $\lambda_j > 2k$, $j = 3, \dots, n$.

Proof. By the relation

$$\binom{t}{m} = \frac{1}{m!} \left(t^m - \frac{m(m-1)}{2} t^{m-1} + \dots + (-1)^{m-1} (m-1)! \cdot t \right)$$

and upper estimation of an exponent with which p enters in $m!$ we obtain

$$(1 + p^k u)^t \equiv 1 + p^k a_1 t + p^{2k} a_2 t^2 + p^{\lambda_3} a_3 t^3 + \dots + p^{\lambda_n} a_n t^n \pmod{p^n},$$

where $(a_i, p) = 1$, $i = 1, \dots, n$, $\lambda_j > \left(k - \frac{1}{p-1} \right)$ $j > 2k$ for $j = 3, 4, \dots$

The lemma is proved.

From the proof of Lemma 2.3 it is obvious that a generative element $u + iv$ of the group E_1 can be take that it is a generative element of the group E_ℓ for any fixed ℓ , $\ell = 2, 3, \dots$. Let $\ell = \max(5, n)$. We have

$$\begin{aligned} N((u + iv))^2 &\equiv 1 \pmod{p^\ell}, \\ (u + iv)^{2(p+1)} &\equiv 1 + p(x_0 + iy_0), \quad (x_0 + iy_0, p) = 1. \end{aligned}$$

Thus

$$N(1 + px_0 + ipy_0) \equiv 1 + 2px_0 + p^2x_0^2 + p^2y_0^2 \equiv 1 \pmod{p^\ell}.$$

Hence, $2px_0 \equiv 0 \pmod{p^2}$, $x_0 = px'_0$, $(y_0, p) = 1$. So,

$$(u + iv)^{2(p+1)} \equiv 1 + p^2x_0 + ipy_0, \quad (x_0, p) = (y_0, p) = 1.$$

Now, applying the previous lemma we easy obtain

$$\begin{aligned} \Re((u + iv)^{2(p+1)t}) &\equiv A_0 + A_1t + A_2t^2 + \dots + A_{n-1}t^{n-1} \pmod{p^n}, \\ \Im((u + iv)^{2(p+1)t}) &\equiv B_0 + B_1t + B_2t^2 + \dots + B_{n-1}t^{n-1} \pmod{p^n}, \end{aligned} \tag{4.11}$$

where

$$\begin{aligned} A_0 &\equiv 1 \pmod{p}, \quad B_0 \equiv 0 \pmod{p}, \\ A_1 &\equiv p^2x_0 + 2'y_0^2p^2 \pmod{p^3}, \quad \text{i.e., } A_1 \equiv 0 \pmod{p^3}, \\ A_2 &\equiv -2'y_0^2p^2 \pmod{p^3}, \quad \text{i.e., } A_2 = p^2A'_2, \quad (A'_2, p) = 1, \\ B_1 &\equiv py_0 \pmod{p^3}, \quad \text{i.e., } B_1 \equiv pB'_1, \quad (B'_1, p) = 1, \\ B_2 &\equiv A_3 \equiv B_3 \equiv \dots \equiv A_{n-1} \equiv B_{n-1} \equiv 0 \pmod{p^3}. \end{aligned}$$

We set

$$\beta = 2(p+1)t + z, \quad 0 \leq t \leq p^{n-1} - 1, \quad 0 \leq z \leq 2p + 1,$$

and denote

$$(u + iv)^z = u(z) + iv(z), \quad z = 0, 1, \dots, 2p + 1.$$

Then

$$(u + iv)^\beta = (u + iv)^{2(p+1)t}(u(z) + iv(z)).$$

And hence, we have

$$\Re\{(u + iv)^{2(p+1)t+z}\} \equiv A_0(z) + A_1(z)t + \dots + A_{n-1}(z)t^{n-1} \pmod{p^n}, \tag{4.12}$$

where $A_i(z) = A_iu(z) - B_iv(z)$.

We clear up for which values z the congruence $v(z) \equiv 0 \pmod{p}$ holds.

Let $v(z) = pv_0(z)$, $v_0(z) \equiv 0 \pmod{p^k}$, $k \geq 0$. Then

$$\begin{aligned} (u + iv)^z &= u(z) + ipv_0(z), \\ (u + iv)^{z(p-1)p^{n-k}} &\equiv (u(z))^{(p-1)p^{n-k}} \pmod{p^n}. \end{aligned}$$

The sequences $\{(u + iv)^{2\beta}\}$ and $\{g^\alpha\}$ can have only two common elements modulo p : 1 or -1 . Thus

$$(u(z))^{(p-1)p^{n-k}} \equiv \pm 1 \pmod{p^n}.$$

The congruence $(u(z))^{(p-1)p^{n-k}} \equiv -1 \pmod{p^n}$ is impossible, so the other way we have $(-1)^{p^{k-1}} \equiv (u(z))^{(p+1)p^{n-1}} \equiv 1 \pmod{p^n}$, i.e., $-1 \equiv 1 \pmod{p}$. Hence

$$(u(z))^{(p-1)p^{n-k}} \equiv 1 \pmod{p^n},$$

$$z(p-1)p^{n-k} \equiv 0 \pmod{2(p+1)p^{n-1}}.$$

Since, $(p-1, p+1) = 2$, then $z \equiv 0 \pmod{(p+1)p^{k-1}}$. Whence it follows that from $p \mid v(z)$ we have $z = p+1$ and from $p^2 \mid v(z)$ follows $z = 0$. So we have

$$p \mid A_1(z), \quad A_i(z) \equiv 0 \pmod{p^2}, \quad i = 2, \dots, n-1, \quad \text{if } z \neq 0, z \neq p+1,$$

$$A_1(0) = A_1(p+1) \equiv 0 \pmod{p^2}, \quad p^2 \mid A_2(0), \quad p^2 \mid A_2(p+1),$$

$$A_j(0) \equiv A_j(p+1) \equiv 0 \pmod{p^3}, \quad j = 3, 4, \dots, n-1.$$

We are now in position to prove the following assertion.

Theorem 4.3. *Let p be a prime number, $p \equiv 3 \pmod{4}$, $h \in \mathbb{Z}$, $(h, p) = 1$, $k > 1$ is a natural, a, b are the Gaussian integer, $(a, p) = (b, p) = 1$. Then for $n \geq 2$*

$$\left| \tilde{K}(a, b; h, p^n; k) \right| \leq 2p^{\frac{3}{2}n+m} \log p^n, \quad (4.13)$$

where m such that $p^m \mid k$.

Proof. Applying Lemma 2.5, we can write a, b in the form

$$a = g^{\alpha'_0}(u + iv)^{\beta'_0}, \quad b = g^{\alpha''_0}(u + iv)^{\beta''_0},$$

where g is a primitive root mod p^n in \mathbb{Z} , $u + iv$ is a generative element of the group E_n . Then we obtain

$$\begin{aligned} & \tilde{K}(a, b; h, p^n; k) = \\ &= \sum_{\substack{x, y \pmod{p^n} \\ N(x)N(y) \equiv h \pmod{p^n}}} e_{p^n} \left(g^{\alpha'_0} \Re((u + iv)^{\beta'_0} x^k) + g^{\alpha''_0} \Re((u + iv)^{\beta''_0} y^k) \right). \end{aligned} \quad (4.14)$$

Let $h \equiv g^\alpha \pmod{p^n}$. Then $h \equiv \pm g^{2\alpha_0} \pmod{p^n}$, where

$$2\alpha_0 = \begin{cases} \alpha & \text{if } \alpha \text{ is even,} \\ \alpha + \frac{p-1}{2}p^{n-1} & \text{if } \alpha \text{ is odd.} \end{cases}$$

The sum over $x \pmod{p^n}$ in (4.14) we split into two pairs, $\sum = \sum_1 + \sum_2$.

In sum over \sum_1 we take these $x \pmod{p^n}$ for which $N(x) \equiv g^{2\alpha_1} \pmod{p^n}$, and in \sum_2 come upon these $x \pmod{p^n}$ for which $N(x) \equiv -g^{2\alpha_1} \pmod{p^n}$. In both cases α_1 runs the values $0, 1, \dots, \frac{p-1}{2}p^{n-1} - 1$. So

$$\tilde{K}(a, b; h, p^n; k) = \sum_1 + \sum_2. \quad (4.15)$$

For x from \sum_1 we have

$$x \equiv g^{\alpha_1}(u + iv)^{2\beta_1} \pmod{p^n},$$

$$\alpha_1 = 0, 1, \dots, \frac{1}{2}(p-1)p^{n-1} - 1, \quad \beta_1 = 0, 1, \dots, (p+1)p^{n-1} - 1.$$

Hence,

$$\Re((u + iv)^{\beta'_0} x^k) \equiv g^{k\alpha_1} \Re((u + iv)^{2k\beta_1 + \beta'_0}) \pmod{p^n}.$$

From the condition $N(x)N(y) \equiv h \pmod{p^n}$ it follows

$$N(y) \equiv \pm g^{2\alpha_2} \pmod{p^n},$$

where $\alpha_2 = \alpha_0 + ((p-1)p^{n-1} - 1)\alpha_1$.

And thus we have

$$\begin{aligned} \sum_1 &= \sum_{(\alpha_1)} \sum_{(\beta_1)} \sum_{(\beta_2)} e_{p^n} \left(g^{\alpha'_0 + \alpha_1 k} \Re((u+iv)^{2k\beta_1 + \beta'_0}) + \right. \\ &\quad \left. + g^{\alpha''_0 + \alpha_2 k} \Re((u+iv)^{2k\beta_2 + \beta''_0 + \delta k}) \right), \end{aligned} \quad (4.16)$$

here (α_1) denotes that α_1 runs the value $0, 1, \dots, \frac{1}{2}(p-1)p^{n-1} - 1$; (β_i) runs the value $0, 1, \dots, (p+1)p^{n-1} - 1$, $i = 1, 2$; furthermore, $\delta = 0$ if $h \equiv g^{2\alpha_0} \pmod{p^n}$ and $\delta = 1$ if $h \equiv -g^{2\alpha_0} \pmod{p^n}$.

Similarly,

$$\begin{aligned} \sum_2 &= \sum_{(\alpha_1)} \sum_{(\beta_1)} \sum_{(\beta_2)} e_{p^n} \left(g^{\alpha'_0 + \alpha_1 k} \Re((u+iv)^{2k\beta_1 + \beta'_0 + 1}) + \right. \\ &\quad \left. + g^{\alpha''_0 + \alpha_2 k} \Re((u+iv)^{2k\beta_2 + \beta''_0 + \delta k}) \right). \end{aligned} \quad (4.17)$$

Again we have

$$\beta_i = (p+1)t_i + z_i, \quad t_i \pmod{p^{n-1}}, \quad z_i = 0, 1, \dots, p, \quad i = 1, 2.$$

Then

$$k\beta_i = 2(p+1)kt_i + kz_i, \quad i = 1, 2.$$

Now by (4.12), (4.13) and Lemma 2.1, it follows that the sums over t_i are equal zero if the congruences

$$\begin{aligned} \beta'_0 + 2kz_1 &\equiv 0 \pmod{(p+1)}, \\ \beta''_0 + 2kz_2 + k\delta &\equiv 0 \pmod{(p+1)} \quad \text{for a sum } \sum_1, \\ \beta'_0 + 2kz_1 + 1 &\equiv 0 \pmod{(p+1)}, \\ \beta''_0 + 2kz_2 + k\delta &\equiv 0 \pmod{(p+1)} \quad \text{for a sum } \sum_2, \end{aligned} \quad (4.18)$$

are disturb.

Consequently one from the sums \sum_1 or \sum_2 is equal always zero.

The congruences (4.18) can be true only for $(k, p+1)^2$ pairs of the value (z_1, z_2) . Let \mathfrak{B} be set of these values (z_1, z_2) .

By (4.12)–(4.14) we obtain

$$\begin{aligned} \tilde{K}(a, b; h, p^n; k) &= \sum_{(\alpha_1)} e_{p^n} (N_0 g^{\alpha_1} + M_0 g^{\alpha_2}) \times \\ &\quad \times \sum_{(z_1, z_2) \in \mathfrak{B}} \sum_{t_1, t_2 \pmod{p^{n-1}}} e_{p^{n-2}} (F_1(kt_1)g^{\alpha_1} + F_2(kt_2)g^{\alpha_2}), \end{aligned}$$

where $F_i(t) = c_1^{(i)}t + c_2^{(i)}t^2 + p^{\lambda_3}c_3^{(i)}t^3 + \dots + p^{\lambda_\ell}c_\ell^{(i)}t^\ell$, $(c_2^{(i)}, p) = (c_3^{(i)}, p) = \dots = 1$, $\lambda_j > 0$ for $j \geq 3$, $(N_0, p) = (M_0, p) = 1$.

The sums over t_1, t_2 calculates equally. Let $k = p^m k_1$, $(k_1, p) = 1$. We break the sum over t_i into blocks of the length p^{n-2-2m} (if $2m < n-2$). Then applying Lemma 2.3, we obtain

$$\tilde{K}(a, b; h, p^n; k) = p^{n+2m} \sum_{(\alpha_1)} e_{p^n}(N_1 g^{\alpha_1} + N_2 g^{\alpha_2}), \quad (4.19)$$

where $(N_1, p) = (N_2, p) = 1$.

From the definition α_2 follows $g^{\alpha_2} \equiv g^{\alpha_0} (g')^{\alpha_1} \pmod{p^n}$.

The sum on the right in (4.19) is the incomplete Kloosterman sum. By a choice of a primitive root g we have

$$g^{p-1} = 1 + pu, \quad (u, p) = 1.$$

Then $g'^{p-1} = 1 - pu_1, (u_1, p) = 1, u \equiv u_1 \pmod{p}$. We set

$$\begin{aligned} \alpha_1 &= (p-1)t + z, \\ t &= 0, 1, \dots, \frac{1}{2}(p^{n-1} - 1), \quad z = 0, 1, \dots, p-2. \end{aligned}$$

Thus

$$\begin{aligned} g^{\alpha_1} &= g^z(1 + a_1 pt + a_2 p^2 t^2 + a_3 p^{\lambda_3} t^3 + \dots) \pmod{p^n}, \\ a_1 &\equiv -u_1, \quad a_2 \equiv -2'u^2 \pmod{p}, \quad \lambda_j \geq 3. \end{aligned}$$

Similarly

$$\begin{aligned} g^{\lambda_2} &\equiv g^{\alpha_0} g'^{\alpha_1} \equiv g^{\alpha_0} g'^z(1 + b_1 pt + b_2 p^2 t^2 + b_3 p^{\mu_3} t^3 + \dots) \pmod{p^n}, \\ b_1 &\equiv -u_1, \quad b_2 \equiv -2'u^2 \pmod{p}, \quad \mu_j \geq 3. \end{aligned}$$

Hence,

$$N_1 g^{\alpha_1} + N_2 g^{\alpha_2} \equiv c_0 + c_1 pt + c_2 p^2 t^2 + c_3 p^{\nu_3} t^3 + \dots \pmod{p^n},$$

where $c_i = g^z a_i N_1 + g^{\alpha_0} g'^z b_i N_2, i = 1, 2$.

Since $(N_1, p) = (N_2, p) = 1$ it easy observe that two congruence

$$c_1 \equiv 0 \pmod{p}, \quad c_2 \equiv 0 \pmod{p}$$

cannot realize simultaneously.

But from $c_1 \equiv 0 \pmod{p}$ follows $g^{2z} \equiv g^{\alpha_0} N_2 N'_1 \pmod{p}$. It is possible only one value z . Let's designate this value through z_0 .

Thus from (4.19) we infer

$$\begin{aligned} \tilde{K}(a, b; h, p^n; k) &= \\ &= p^{n+2m} \left(\sum_{\substack{z=0 \\ z \neq z_0}}^{p-2} \sum_{t=0}^{\frac{1}{2}(p^{n-1}-1)} e^{2\pi i \frac{c_0}{p^n}} e_p^{n-1} (c_1 t + c_2 p t^2 + c_3 p^{\nu_3-1} t^3 + \dots) + \right. \\ &\quad \left. + \sum_{t=0}^{\frac{1}{2}(p^{n-1}-1)} e^{2\pi i \frac{c'_0}{p^n}} e_{p^{n-2}} (c'_1 t + c'_2 t^2 + c'_3 p^{\nu_3-2} t^3 + \dots) \right), \quad (4.20) \end{aligned}$$

where $(c_1, p) = (c'_2, p) = 1$.

The sums over t are the incomplete rational sums, their estimations we obtain by way of estimations complete exponent sums.

We have for an arbitrary polynomial $\Phi(t) \in \mathbb{Z}[t]$:

$$\left| \sum_{t=0}^T e^{2\pi i \frac{\Phi(t)}{q}} - \frac{T}{q} \sum_{t=0}^{q-1} e^{2\pi i \frac{\Phi(t)}{q}} \right| \leq \sum_{r=1}^q \frac{1}{\min(r, q-r+1)} \left| \sum_{t=0}^{q-1} e^{2\pi i \frac{\Phi(t)-t}{q}} \right|. \quad (4.21)$$

Now, if $\Phi(t) = c_1 t + c_2 p t^2 + c_3 p^{\nu_3-1} t^3 + \dots$, $(c_1, p) = 1$, $q = p^{n-1}$, then the complete sums in (4.21) are equal to zero for all r except the case $r \equiv c_1 \pmod{p}$. In this special case we have $\Psi(t) = c'_1 t + c'_2 t^2 + c'_3 p^{\nu_3-1} t^3 + \dots$, $(c'_2, p) = 1$, $q = p^{n-2}$, and than a complete sum estimates by a value $2p^{\frac{n-2}{2}}$.

Hence,

$$\left| \tilde{K}(a, b; h, p^n; k) \right| \leq p^{n+m} \left[\sum_{\substack{z=0 \\ z \neq z_0}}^{p-2} \frac{1}{|c_1(z)|} + \sum_{r=1}^{p^n} \frac{1}{kp} p^{\frac{n-2}{2}} + pp^{\frac{n-2}{2}} \right].$$

At last, we take account that for the distinct values z we have the distinct values $c_1(z) \pmod{p}$, and thus we obtain

$$\left| \tilde{K}(a, b; h, p^n; k) \right| \leq p^{\frac{3}{2}n+m} \left(\log p + \frac{\log p^n}{p} \right).$$

If $2m > n - 2$ then the assertion of theorem is trivial.

The theorem is proved.

We go towards a estimation of the norm Kloosterman sum $\tilde{K}(a, b; h, p^n; k)$ for the case $p \equiv 1 \pmod{4}$, $k \geq 2$, $(a, p) = (b, p) = 1$. For $p \equiv 1 \pmod{4}$ we have $p = \mathfrak{p}\bar{\mathfrak{p}}$, where \mathfrak{p} and $\bar{\mathfrak{p}}$ are the complex conjugate Gaussian prime number. Then the reduced residue system mod p^n can write as

$$x = g^{\ell_1} \bar{\mathfrak{p}}^n + g^{\ell_2} \mathfrak{p}^n, \quad 0 \leq \ell_1, \quad \ell_2 \leq (p-1)p^{n-1} - 1,$$

where g is a primitive root mod p^n such that

$$g^{p-1} = 1 + pH, \quad H \in \mathbb{Z}, \quad (H, p) = 1.$$

Thus

$$\begin{aligned} N(x) = x\bar{x} &= g^{2\ell_1} p^n + g^{\ell_2} p^n + g^{\ell_1+\ell_2} \bar{\mathfrak{p}}^{2n} + g^{\ell_1+\ell_2} \mathfrak{p}^{2n} \equiv \\ &\equiv g^{\ell_1+\ell_2} Sp(\mathfrak{p}^{2n}) \pmod{p^n}. \end{aligned} \tag{4.22}$$

Therefore, if $\mathfrak{p} = c + id$ then $(c, p) = (d, p) = 1$, and by the induction we easily obtain

$$\mathfrak{p}^{2n} \equiv c_n + id_n, \quad n = 1, 2, \dots,$$

where

$$\begin{aligned} c_n &\equiv \begin{cases} (-1)^{n-1} \cdot 2^m \cdot c \cdot d^{2(m-1)} \pmod{p}, \\ (-1)^m \cdot 2^{m+2} \cdot d^{2m}, \end{cases} \\ d_n &\equiv \begin{cases} (-1)^{2m-1} \cdot 2^m \cdot d^{2m-1} \pmod{p} & \text{if } n = 2m-1, \\ (-1)^{m-1} \cdot 2^{m+2} \cdot c \cdot d^{2m-1} \pmod{p} & \text{if } n = 2m. \end{cases} \end{aligned}$$

Hence, for $p \equiv 1 \pmod{4}$ we have

$$\begin{aligned} \tilde{K}(a, b; h, p^n; k) &= \sum_{(U)} e_{p^n}(A(g^{\ell'_1 k} + g^{\ell'_2 k}) + B(g^{\ell''_1 k} + g^{\ell''_2 k})) = \\ &= \sum_{(U')} e_{p^n} \left(A(x_1^k + x_2^k) + B(y_1^k + y_2^k) \right), \end{aligned} \tag{4.23}$$

where

$$U := \left\{ \ell'_1, \ell'_2, \ell''_1, \ell''_2 \pmod{(p-1)p^{n-1}} \mid g^{\ell'_1 + \ell'_2 + \ell''_1 + \ell''_2} \equiv H \pmod{p^n} \right\},$$

$$U' := \{x_1, x_2, y_1, y_2 \pmod{p^n} \mid x_1 x_2 y_1 y_2 \equiv H \pmod{p^n}\},$$

$$A, B, \in \mathbb{Z}, \quad (A, p) = (B, p) = 1.$$

Theorem 4.4. Let $p \equiv 1 \pmod{4}$ is a prime number and let $a, b \in \mathbb{Z}[i]$, $(a, p) = (b, p) = 1$. Then

$$\left| \tilde{K}(a, b; h, p; k) \right| \ll \begin{cases} d^2 p^{\frac{3}{2}} & \text{if } (d-1)^4 < p, \\ d^4 p^2 & \text{if } (d-1)^4 \geq p, \end{cases}$$

where $d = (k, p-1)$.

Proof. With out loss of generality, we can suppose $a, b \in \mathbb{Z}$.

By (4.23) and similarly as in the case $p \equiv 3 \pmod{4}$ we obtain

$$\tilde{K}(a, b; h, p; k) = \sum_{\substack{x_2, x_2, y_1, y_2 \in \mathbb{F}_p^* \\ x_1, x_2, y_1, y_2 \equiv H_1^k}} e_p(A(x_1^d + x_2^d) + B(y_1^d + y_2^d)).$$

Now, for $(d-1)^4 < p$ we obtain by analogy with the case $p \equiv 3 \pmod{4}$

$$\sum_{h \in \mathbb{F}_p^*} \chi(h) \tilde{K}(\alpha, \beta; h, p; d) = \left(\sum_{x \in \mathbb{F}_p^*} \chi(x) e_p(Ax^d) \right)^2 \left(\sum_{y \in \mathbb{F}_p^*} \chi(y) e_p(By^d) \right)^2.$$

Hence,

$$\sum \left| \tilde{k}(\alpha, \beta; h, p; d) \right|^2 \leq (d-1)^4 p^4 \quad \text{if } (d-1)^4 < p.$$

Then

$$\tilde{K}(a, b; h, p; k) \ll d^2 p^{\frac{3}{2}} \quad \text{if } (d-1)^4 < p.$$

Let $(d-1)^4 \geq p$. Denote through g a primitive element of field \mathbb{F}_p and let $x = g^{\text{ind } x}$ for $x \in \mathbb{F}_p^*$.

Let G is a group of multiplicative characters of \mathbb{F}_p . For $\chi \in G$ we have $\chi(x) = e_{p-1}(\nu \text{ ind } x)$ with some $\nu \in \mathbb{F}_p$. Then using the arguments from Theorem 4.1, we can obtain on a routine way the following relation:

$$\begin{aligned} \tilde{K}(a, b; h, p; d) &= \\ &= \frac{1}{p-1} \sum_{\chi \in G} \bar{\chi}(H) \sum_{s_1, \dots, s_4=0}^{d-1} \bar{\chi}(A^2 B^2) e_d((s_1 + s_2) \text{ ind } A + (s_3 + s_4) \text{ ind } B) \times \\ &\quad \times \sum_{x_1, \dots, x_4 \in \mathbb{F}_p^*} e_d(s_1 \text{ ind } x_1 + \dots + s_4 \text{ ind } x_4) \chi(x_1, \dots, x_4) e_p(x_1 + \dots + x_4) = \\ &= \frac{1}{p-1} \sum_{\nu \in \mathbb{F}_p} \sum_{s_1, \dots, s_4=0}^{d-1} e_{p-1}(\nu \text{ ind } H) e_{p-1}(F_1(\nu, s)) \times \\ &\quad \times \sum_{x_1, \dots, x_4 \in \mathbb{F}_p^*} e_{p-1}(F_2(\nu, s, x)) e_p(x_1 + \dots + x_4), \end{aligned}$$

where

$$F_1(\nu, s) := \left(2\nu + (s_1 + s_2) \frac{p-1}{d} \right) \text{ ind } A + \left(2\nu + (s_3 + s_4) \frac{p-1}{d} \right) \text{ ind } B,$$

$$F_2(\nu, s, x) := \left(s_1 \frac{p-1}{d} + \nu \right) \text{ ind } x_1 + \dots + \left(s_4 \frac{p-1}{d} + \nu \right) \text{ ind } x_4.$$

The last sum over x_1, \dots, x_4 is the product of the Gauss sums of field \mathbb{F}_p . And hence,

$$\left| \tilde{K}(a, b; h, p; k) \right| \leq d^4 p^2.$$

The theorem is proved.

If $n \geq 2$ we can use the description of solution of the congruence $x_1, x_2, x_3, x_4 \equiv H \pmod{p^n}$:

$$\begin{aligned} x_i &= y_i + p^m z_i, \quad y_i \pmod{p^m}, \quad z_i \pmod{p^{n-m}}, \\ (y_i, p) &= 1, \quad i = 1, 2, 3; \quad m = \left[\frac{n+1}{2} \right], \end{aligned} \tag{4.24}$$

$$x_4 = Hy'_1 y'_2 y'_3 (1 - p^m y'_1 z_1 - p^m y'_2 z_2 - p^m y'_3 z_3), \quad y_i y'_i \equiv 1 \pmod{p^m}.$$

Theorem 4.5. Let $p \equiv 1 \pmod{4}$ be a prime number, $n \in \mathbb{N}$, $n \geq 2$; $h \in \mathbb{Z}$, $(h, p) = 1$; $a, b \in \mathbb{Z}[i]$, $(a, p) = (b, p) = 1$. Then

$$\left| \tilde{K}(a, b; h, p^n; k) \right| \ll \begin{cases} d^4 p^{\frac{3}{2}n} & \text{if } (d-1)^4 < p, \\ d^4 p^{n+m} & \text{if } (d-1)^4 \geq p, \end{cases}$$

$$\text{where } m = \left[\frac{n+1}{2} \right].$$

Proof. By (4.23), (4.24) we have

$$\begin{aligned} \tilde{K}(a, b; h, p^n; k) &= \sum_{y_1, y_2, y_3 \in R^*(p^m)} e_{p^n}(f(y_1, y_2, y_3)) \times \\ &\quad \times \sum_{z_1, z_2, z_3 \pmod{p^{n-m}}} e_{p^{n-m}}(F(z_1, z_2, z_3)), \end{aligned} \tag{4.25}$$

where

$$\begin{aligned} f(y_1, y_2, y_3) &= Ay_1^k + ay_2^k + By_3^k + BH y'_1^k y'_2^k y'_3^k, \\ F(z_1, z_2, z_3) &= k \left[\left(Ay_1^{k-1} - By'_1^{k+1} y'_2^k y'_3^k \right) z_1 + \right. \\ &\quad \left. + \left(Ay_2^{k-1} - B(y_1^{k+1} y_2^k y_3^k)' \right) z_2 + \left(Ay_3^{k-1} - B(y_1^k y_2^k y_3^{k+1})' \right) z_3 \right]. \end{aligned}$$

Let $(k, p^{n-m}) = p^\ell$. Then we obtain from (4.25)

$$\tilde{K}(a, b; h, p^n; k) = p^{3(n-m)} \sum_{(U)} e_{p^n}(f(y_1, y_2, y_3)),$$

where $U := \{y_1, y_2, y_3 \pmod{p^m} \mid (y_i, p) = 1, i = 1, 2, 3; y_1^k \equiv y_2^k \equiv y_3^k \pmod{p^{n-m-\ell}}, y_1^{4k} \equiv BA' \pmod{p^{n-m-\ell}}\}$.

Now, for $n = 2m$ we estimate the sum $\sum_{(U)}$ by the number triples $(y_1, y_2, y_3) \in U$, and for $n = 2m - 1$ we take into account also the Theorem 2.4. Hence, we have finally

$$\left| \tilde{K}(a, b; h, p^n; k) \right| \ll \begin{cases} d^4 p^{\frac{3}{2}n} & \text{if } (d-1)^4 < p, \\ d^4 p^{n+m} & \text{if } (d-1)^4 \geq p. \end{cases}$$

The theorem is proved.

Collection our previous estimations from the Theorems 4.2 – 4.5 we get the following theorem.

Theorem 4.6. Let $\alpha, \beta \in \mathbb{Z}[i]$ and let $h, q, k, n \in \mathbb{N}$, $k \geq 2$, $(k, q) = (h, q) = 1$. Then for $(\alpha, q) = (\beta, q) = 1$ we have

$$\tilde{K}(\alpha, \beta; h, q; k) \ll D(k, q)q^{\frac{3}{2}},$$

where

$$D(k, q) = \prod_{\substack{p|q \\ p \equiv 1(q)}} d^6(k, p) \prod_{\substack{p^n \parallel q \\ p \equiv 3(q)}} d^3(k, p) \log p^n,$$

$$d(k, p) = (k, p - 1).$$

We must note that the norm Kloosterman sum $\tilde{K}(\alpha, \beta; h, q; k)$ has not an analogue in the ring \mathbb{Z} .

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