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A CONSTRUCTION OF SPHERICAL 3-DESIGNS *

ПОБУДОВА СФЕРИЧНИХ 3-КОНСТРУКЦІЙ

We give a construction for spherical 3-designs. This construction is a generalization of Bondarenko’s results.

Наведено метод побудови сферичних 3-конструкцій. Цей метод є узагальненням результатів Бондаренка.

1. Introduction. This paper is inspired by [1], which gives an optimal antipodal spherical (35, 240, 1/7) code whose vectors form a spherical 3-design. To explain our results, we review the concept of spherical t -designs and [1].

First, we explain the concept of spherical t -designs.

Definition 1.1 [3]. For a positive integer t , a finite nonempty set X in the unit sphere

$$S^d = \{x = (x_1, \dots, x_{d+1}) \in \mathbb{R}^{d+1} \mid x_1^2 + \dots + x_{d+1}^2 = 1\}$$

is called a spherical t -design in S^d if the following condition is satisfied:

$$\frac{1}{|X|} \sum_{x \in X} f(x) = \frac{1}{|S^d|} \int_{S^d} f(x) d\sigma(x),$$

for all polynomials $f(x) = f(x_1, \dots, x_{d+1})$ of degree not exceeding t . Here, the right-hand side involves the surface integral over the sphere and $|S^d|$, the volume of sphere S^d .

The meaning of spherical t -designs is that the average value of the integral of any polynomial of degree up to t on the sphere can be replaced by its average value over a finite set on the sphere.

The following is an equivalent condition of the antipodal spherical designs.

Proposition 1.1 [6]. An antipodal set $X = \{x_1, \dots, x_N\}$ in S^d forms a spherical 3-design if and only if

$$\frac{1}{|X|^2} \sum_{x_i, x_j \in X} (x_i, x_j)^2 = \frac{1}{d+1}.$$

An antipodal set $X = \{x_1, \dots, x_N\}$ in S^d forms a spherical 5-design if and only if

$$\frac{1}{|X|^2} \sum_{x_i, x_j \in X} (x_i, x_j)^2 = \frac{1}{d+1},$$

$$\frac{1}{|X|^2} \sum_{x_i, x_j \in X} (x_i, x_j)^4 = \frac{3}{(d+3)(d+1)}. \tag{1.1}$$

Next, we review [1]. Let

$$\Delta = \sum_{j=1}^{d+1} \frac{\partial^2}{\partial x_j^2}.$$

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We say that a polynomial P in \mathbb{R}^{d+1} is harmonic if $\Delta P = 0$. For integer $k \geq 1$, the restriction of a homogeneous harmonic polynomial of degree k to S^d is called a spherical harmonic of degree k . We denote by $\text{Harm}_k(S^d)$ the vector space of the spherical harmonics of degree k . Note that (see, for example, [6])

$$\dim \text{Harm}_k(S^d) = \frac{2k+d-1}{k+d-1} \binom{d+k-1}{k}.$$

For $P, Q \in \text{Harm}_k(S^d)$, we denote by $\langle P, Q \rangle$ the usual inner product

$$\langle P, Q \rangle := \int_{S^d} P(x)Q(x)d\sigma(x),$$

where $d\sigma(x)$ is a normalized Lebesgue measure on the unit sphere S^d . For $x \in S^d$, there exists $P_x \in \text{Harm}_k(S^d)$ such that

$$\langle P_x, Q \rangle = Q(x) \quad \text{for all } Q \in \text{Harm}_k(S^d).$$

It is known that

$$P_x(y) = g_{k,d}((x, y)),$$

where $g_{k,d}$ is a Gegenbauer polynomial. Let

$$G_x = \frac{P_x}{g_{k,d}(1)^{1/2}}.$$

We remark that

$$\langle G_x, G_y \rangle = \frac{g_{k,d}((x, y))}{g_{k,d}(1)}.$$

(For a detailed explanation of Gegenbauer polynomials, see [6].) Therefore, if we have a set $X = \{x_1, \dots, x_N\}$ in S^d , then we obtain the set $G_X = \{G_{x_1}, \dots, G_{x_N}\}$ in $S^{\dim \text{Harm}_k(S^d)-1}$.

Let $X = \{x_1, \dots, x_{120}\}$ be an arbitrary subset of 240 normalized minimum vectors of the E_8 lattice such that no pair of antipodal vectors is present in X . Set $P_x(y) = g_{2,7}((x, y))$. A. V. Bondarenko in [1] showed that $G_X \cup -G_X$ is an optimal antipodal spherical $(35, 240, 1/7)$ code whose vectors form a spherical 3-design, where

$$-G_X := \{-G_x \mid G_x \in G_X\}.$$

Furthermore, A. V. Bondarenko in [1] showed that $G_X \cup -G_X$ is a spherical 3-design, using the special properties of the E_8 lattice. However, this fact is an example that extends to a more general setting as follows. The spherical 3-design obtained by A. V. Bondarenko in [1] is a special case of our main result, which is presented as the following theorem.

Theorem 1.1. *Let X be a finite subset of sphere S^d satisfying the condition (1.1). We set $P_x(y) = g_{2,d}((x, y))$. Then $G_X \cup -G_X$ is a spherical 3-design in $S^{\dim \text{Harm}_2(S^d)-1}$.*

We denote by $\widetilde{G_X}$ the set $G_X \cup -G_X$ defined in Theorem 1.1.

Corollary 1.1. 1. *Let X be a spherical 4-design in S^d . Then $\widetilde{G_X}$ is a spherical 3-design in $S^{\dim \text{Harm}_2(S^d)-1}$.*

2. Let X be a spherical 4-design in S^d and an antipodal set. Let X' be an arbitrary subset of X with $|X'| = |X|/2$ such that no pair of antipodal vectors is present in X' . Then $\widetilde{G_{X'}}$ is a spherical 3-design in $S^{\dim \text{Harm}_2(S^d)-1}$.

In Section 2, we give a proof of Theorem 1.1. In Section 3, we give some examples.

2. Proof of Theorem 1.1. Let $X = \{x_1, \dots, x_N\}$ be in S^d and $G_X = \{G_{x_1}, \dots, G_{x_N}\}$ be in $\text{Harm}_2(S^d)$. By Proposition 1.1, we have

$$\frac{1}{|X|^2} \sum_{x_i, x_j \in X} (x_i, x_j)^2 = \frac{1}{d+1},$$

$$\frac{1}{|X|^2} \sum_{x_i, x_j \in X} (x_i, x_j)^4 = \frac{3}{(d+3)(d+1)},$$

since X is a spherical 4-design. We have the following Gegenbauer polynomial of degree 2 on S^d :

$$g_{2,d}(x) = \frac{d+1}{d}x^2 - \frac{1}{d}.$$

It is enough to show that

$$\frac{1}{|X|^2} \sum_{x_i, x_j \in X} \langle G_{x_i}, G_{x_j} \rangle^2 = \frac{2}{d(d+3)},$$

since

$$\dim \text{Harm}_2(S^d) = \frac{d+3}{d+1} \binom{d+1}{2} = \frac{d(d+3)}{2}$$

and $G_X \cup -G_X$ is an antipodal set. We remark that if X is a spherical t -design, then $X \cup -X$ is also a spherical t -design.

In fact,

$$\begin{aligned} & \frac{1}{|X|^2} \sum_{x_i, x_j \in X} \langle G_{x_i}, G_{x_j} \rangle^2 = \frac{1}{|X|^2} \sum_{x_i, x_j \in X} g_{2,d}((x_i, x_j))^2 = \\ & = \frac{1}{|X|^2} \sum_{x_i, x_j \in X} \left(\frac{(d+1)^2}{d^2} (x_i, x_j)^4 - 2 \frac{d+1}{d^2} (x_i, x_j)^2 + \frac{1}{d^2} \right) = \\ & = \frac{(d+1)^2}{d^2} \frac{3}{(d+3)(d+1)} - 2 \frac{d+1}{d^2} \frac{1}{d+1} + \frac{1}{d^2} = \frac{2}{d(d+3)}. \end{aligned}$$

Therefore, if $X = \{x_1, \dots, x_N\}$ is a spherical 4-design, then $G_X \cup -G_X$ is a spherical 3-design.

Theorem 1.1 is proved.

3. Examples. In this section, we give some examples of using Theorem 1.1.

First we recall the concept of a strongly perfect and spherical $(d+1, N, a)$ code.

Definition 3.1 [6]. A lattice L is called strongly perfect if the minimum vectors of L form a spherical 5-design.

Definition 3.2 [2]. An antipodal set $X = \{x_1, \dots, x_N\}$ in S^d is called an antipodal spherical $(d+1, N, a)$ code if $|(x_i, x_j)| \leq a$ for some $a > 0$ and all $x_i, x_j \in X, i \neq j$, are not antipodal.

Next we give some examples.

Example 3.1. The strongly perfect lattices whose ranks are less than 12 have been classified [4, 5]. Such lattices whose ranks are greater than 1 are as follows:

$$A_2, D_4, E_6, E_6^\sharp, E_7, E_7^\sharp, E_8, K_{10}, K_{10}^\sharp, CT_{12}.$$

(For a detailed explanation, see [4, 5].) Let L be one of the above lattices and X be the minimum vectors of L . Then, let X' be an arbitrary subset of X with $|X'| = |X|/2$ such that no pair of antipodal vectors is present in X' .

By Corollary 1.1, $G_X \cup -G_X$ is a spherical 3-design in S^d , where d is as follows:

L	$(d+1, N, a)$ code	$ (x_i, x_j) $	$ \langle G_{x_i}, G_{x_j} \rangle $
A_2	(2, 6, 1/2)	{1/2}	{1/2}
D_4	(9, 24, 1/3)	{0, 1/2}	{0, 1/3}
E_6	(20, 72, 1/5)	{0, 1/2}	{1/10, 1/5}
E_6^\sharp	(20, 54, 1/8)	{1/4, 1/2}	{1/10, 1/8}
E_7	(27, 126, 1/6)	{0, 1/2}	{1/8, 1/6}
E_7^\sharp	(27, 56, 1/27)	{1/3}	{1/27}
E_8	(35, 240, 1/7) [1]	{0, 1/2}	{1/7}
K_{10}	(54, 276, 1/6)	{0, 1/4, 1/2}	{1/24, 1/9, 1/6}
K_{10}^\sharp	(54, 54, 1/6)	{1/8, 1/4, 1/2}	{1/24, 3/32, 1/6}
CT_{12}	(77, 756, 2/11)	{0, 1/4, 1/2}	{1/44, 1/11, 2/11}

Example 3.2. Let X be the minimum vectors of the Barnes – Wall lattice of rank 16, and let X' be an arbitrary subset of X with $|X'| = |X|/2$ such that no pair of antipodal vectors is present in X' . We remark that X is a spherical 7-design.

By Corollary 1.1, $G_X \cup -G_X$ is a spherical 3-design in S^d , where d is as follows:

L	$(d+1, N, a)$ code	$ (x_i, x_j) $	$ \langle G_{x_i}, G_{x_j} \rangle $
BW_{16}	(135, 4320, 1/5)	{0, 1/4, 1/2}	{0, 1/15, 1/5}

Example 3.3. Let X be the minimum vectors of the Leech lattice, and let X' be an arbitrary subset of X with $|X'| = |X|/2$ such that no pair of antipodal vectors is present in X' . We remark that X is a spherical 11-design.

By Corollary 1.1, $G_X \cup -G_X$ is a spherical 3-design in S^d , where d is as follows:

L	$(d+1, N, a)$ code	$ (x_i, x_j) $	$ \langle G_{x_i}, G_{x_j} \rangle $
Leech	(299, 196560, 5/23)	{0, 1/4, 1/2}	{1/46, 1/23, 5/23}

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